

Memorandum

TO: Faculty and Staff
FROM: Robert A. Altenkirch
DATE: August 31, 2012
SUBJECT: Chief Compliance Officer

The University of Alabama in Huntsville is committed to ensure that we adhere to all laws under which the University operates, all University policies, and all rules and regulations of external organizations with which we are associated and maintain ethical conduct in all we do. This commitment is consistent with the core values of integrity and respect that have emerged from the Strategic Planning process underway (<http://www.uah.edu/president/strategic-planning>), namely that we are guided by principles of ethics, treat others with deferential regard, and are civil in our interactions. Toward this end, John Cates, UAH Legal Counsel, will serve as well as Chief Compliance Officer for UAHuntsville reporting to the President.

As Chief Compliance Officer, Mr. Cates will be responsible for establishing and implementing an effective compliance program to prevent illegal, unethical, or improper conduct. He will coordinate the work, through a Compliance Committee, of the various University areas/units that have compliance responsibility, including, but not limited to: Financial Aid, Student Affairs, Athletics, Police and Public Safety, Information Technology, Research, Internal Audit, Grants and Contracts.

Mr. Cates' responsibilities commence immediately. He will also serve as the University's liaison with the recently appointed Director of Risk Management of the University of Alabama System.

Thanks,

Bob